

# FINANCIAL SERVICES

Hodgson Russ's record of service to the financial services industry spans nearly two centuries, and we have been proud to represent more than 80 U.S.-based and foreign financial institutions, ranging from large multistate banks to small community banks.

In addition to banks, we represent mortgage lenders and servicers, credit card companies, insurance companies, securities firms, and other financial services institutions across the full spectrum of regulatory, transactional, enforcement, and litigation matters.

## REGULATORY

Our attorneys counsel and advise banks and financial institutions in matters related to compliance, including risk management, regulatory examinations from state and federal agencies, and formulating responses to regulatory inquiries.

## TRANSACTIONAL

Hodgson Russ represents financial institutions in joint venture negotiations and outsourcing, licensing, and e-commerce agreements. We advise regarding mergers and acquisitions and strategic alliances and conduct due diligence and risk assessment in connection with these transactions. Our attorneys also provide

compliance counsel related to all appropriate laws and regulations involved in mergers and acquisitions, bankruptcy and reorganizations, and all facets of asset management.

## ENFORCEMENT

Hodgson Russ represents clients in government investigations and enforcement actions before the U.S. Department of Justice, the Consumer Financial Protection Bureau, the Securities and Exchange Commission, the Federal Trade Commission, the Department of Housing and Urban Development, and all federal and state regulatory agencies, federal bank regulators, and state attorneys general. We handle matters related to lending practices, bribery and corruption, information security, and consumer privacy, among numerous others.

## LITIGATION

Our attorneys defend major banks and financial services firms and their executives

We represent financial services institutions across the full spectrum of regulatory, transactional, enforcement, and litigation matters.

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in complex civil litigation, arbitrations, and class actions, in claims of discrimination, unfair competition, deceptive practices, predatory lending, false claims, fraud, and all alleged violations of state and federal law, including the Fair Housing Act, Equal

Credit Opportunity Act, federal securities laws, and state laws related to consumer protection, privacy and antidiscrimination. We also defend financial institutions and their executives, officers, and directors in white-collar criminal matters.

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*Practice restricted to U.S. law*



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